UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
2012 MODEL YEAR
CERTIFICATE OF CONFORMITY
WITH THE CLEAN AIR ACT OF 1990

OFFICE OF TRANSPORTATION AND AIR QUALITY
ANN ARBOR, MICHIGAN 48105

Certificate Issued To: **Caterpillar Inc.**
(U.S. Manufacturer or Importer)

Certificate Number: CCPXL15.2HPA-010

Effective Date: 08/10/2011

Expiration Date: 12/31/2012

Karl J. Simon, Director
Compliance and Innovative Strategies Division

Issue Date: 08/10/2011

Revision Date: N/A

Model Year: 2012
Manufacturer Type: Original Engine Manufacturer
Engine Family: CCPXL15.2HPA

Mobile/Stationary Indicator: Both
Emissions Power Category: 130<kW<=560
Fuel Type: Diesel
After Treatment Devices: Diesel Oxidation Catalyst, PTOX-DPF-Active
Non-after Treatment Devices: Engine Design Modification, Electronic/Electric EGR - Cooled, Electronic Control

Pursuant to Section 111 and Section 213 of the Clean Air Act (42 U.S.C. sections 7411 and 7547) and 40 CFR Parts 60 and 1039, and subject to the terms and conditions prescribed in those provisions, this certificate of conformity is hereby issued with respect to the test engines which have been found to conform to applicable requirements and which represent the following engines, by engine family, more fully described in the documentation required by 40 CFR Parts 60 and 1039 and produced in the stated model year.

This certificate of conformity covers only those new compression-ignition engines which conform in all material respects to the design specifications that applied to those engines described in the documentation required by 40 CFR Parts 60 and 1039 and which are produced during the model year stated on this certificate of the said manufacturer, as defined in 40 CFR Parts 60 and 1039.

It is a term of this certificate that the manufacturer shall consent to all inspections described in 40 CFR 1068 and authorized in a warrant or court order. Failure to comply with the requirements of such a warrant or court order may lead to revocation or suspension of this certificate for reasons specified in 40 CFR Parts 60 and 1039. It is also a term of this certificate that this certificate may be revoked or suspended or rendered void *ab initio* for other reasons specified in 40 CFR Parts 60 and 1039.

This certificate does not cover engines sold, offered for sale, or introduced, or delivered for introduction, into commerce in the U.S. prior to the effective date of the certificate.